

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled ANGIOGENESIS INHIBITORY ANTIBODIES, the specification of which (check one)

\_\_\_\_\_ is attached hereto  
X was filed on April 28, 1995  
Application Serial No. 08/433,423  
and was amended on (or amended through) April 28, 1995  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Sec. 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Prior Foreign Application(s) |                             |  | Priority Claimed |                     |
|------------------------------|-----------------------------|--|------------------|---------------------|
| <u>PL5573</u><br>No.         | <u>Australia</u><br>Country | <u>29 October 1992</u><br>Day/Month/Year filed | <u>X</u><br>Yes  | <u>      </u><br>No |
| <u>PCT/AU93/00558</u><br>No. | <u>PCT</u><br>Country       | <u>29 October 1993</u><br>Day/Month/Year filed | <u>X</u><br>Yes  | <u>      </u><br>No |

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Sec. 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| <u>NONE</u>       |             |  |
|-------------------|-------------|--|
| Appln. Serial No. | Filing Date | Status<br>(patented, pending<br>abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

CRAIG B. BAILEY, Registration No. 28,786,  
JAMES W. PAUL, Registration No. 29,967,  
GILBERT G. KOVELMAN, Registration No. 19,552,  
JOHN S. NAGY, Registration No. 30,664, and  
DAVID G. PARKHURST, Registration No. 29,422.

Direct all telephone calls to David G. Parkhurst, at telephone No. (310) 824-5555.

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Los Angeles, California 90024

Full name of inventor: Christopher Richard Parish

Inventor's signature: C.R. Parish

Date: 16 June, 1995

Residence: Campbell, Australian Capital Territory

Citizenship: Australia

Post Office Address: 62 Vasey Crescent  
Campbell  
Australian Capital Territory 2601  
Australia

081593-0339  
"EEETD"

Applicant or Patentee: Christopher Richard PARISH  
Serial or Patent No.: 08/433,423  
Filed or Issued: 28 April 1995  
For: ANGIOGENESIS INHIBITORY ANTIBODIES

Attorney's  
Docket No: 37188

**MAIL ROOM**  
**MAR 13 1995**  
**U.S. PATENT & TRADEMARK OFFICE**  
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(d)) - NONPROFIT ORGANIZATION  
I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:  
NAME OF ORGANIZATION THE AUSTRALIAN NATIONAL UNIVERSITY  
ADDRESS OF ORGANIZATION Acton, Australian Capital Territory, 2601, Australia

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3))  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA  
(NAME OF STATE \_\_\_\_\_)  
(CITATION OF STATUTE \_\_\_\_\_)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) or (b) of Title 35, United States Code with regard to the invention entitled ANGIOGENESIS INHIBITORY ANTIBODIES by inventor(s) Christopher Richard PARISH described in

☐ the specification filed herewith  
☒ application serial no. 08,433,423, filed 28 April 1995  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION  
NAME \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein or my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Millicent Eleanor POOLE  
TITLE IN ORGANIZATION Deputy Vice-Chancellor  
ADDRESS OF PERSON SIGNING Acton, Australian Capital Territory, 2601, Australia  
SIGNATURE Millicent Eleanor Poole DATE 6 6 95

Applicant or Patentee: Christopher R. Parish Attorney's  
Serial or Patent No: 08/433,423 Docket No. 37188  
Filed or Issued: April 28, 1995  
For: ANGIOGENESIS INHIBITORY ANTIBODIES

**MAIL ROOM**  
**MAILED**  
**13**  
**20**  
**1995**  
**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY**  
**STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**  
As the below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: ANGIOGENESIS INHIBITORY ANTIBODIES described in

- ☐ the specification filed herewith  
☒ application Serial No. 08/433,423 filed April 28, 1995  
☐ Patent No. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed or am under or may be under an obligation under contract or law to assign, grant, convey, or license any rights in invention is listed below:

- ☐ no such person, concern, or organization  
☒ persons, concerns or organizations listed below \*

\* Note: Separate verified statements are required for each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Full Name: The Australian National University  
Address: Acton Australian Capital Territory 2601  
Australia

☐ Individual ☐ Small Business Concern ☒ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Inventor: Christopher R. Parish

Signature of Inventor: C. R. Parish

Date: 16 June, 1995